

3624/7



Atty. Dkt. No. 027756-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Arthur W. CHAFFEE  
**Title:** INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD  
**Application No.:** 09/410,825  
**Filing Date:** 27 September 1999  
**Examiner:** N. SUBRAMANIAN  
**Art Unit:** 3624

**RECEIVED**  
**DEC 06 2002**  
**GROUP 3600**

**CERTIFICATE OF MAILING**

Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. § 1.8(a) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington D.C. 20231.

1. Transmittal sheet in duplicate.
2. Reply to Official Action of 27 September 2002 Under 37 CFR § 1.111.
3. Letter to the Official Draftsman (1 sheet of drawing).
4. Check in the amount of \$297.00 for claims fee.
5. Return receipt postcard.

Respectfully submitted,

November 27, 2002  
Date

*Ronald Coslick*  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Attorney Docket No. 027756-0101

Arthur W. CHAFFEE

Group Art Unit: 3624

Serial No. 09/410,825

Examiner: N. SUBRAMANIAN

Filed: 27 September 1999

For: INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

TRANSMITTAL

Commissioner for Patents  
Washington, D.C. 20231

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Transmitted herewith is a Reply to the Official Action of 27 September 2002 for the above-identified application. The fees have been calculated as shown below.

CLAIMS AS AMENDED						
(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid For	(5) Extra Claims	(6) Rate	(7) Fee
Total Claims (Small Entity)	81		48	33	18.00 (9.00)	297.00
Independent claims (Small Entity)	3		8	0	84.00 (42.00)	
Multiple Dependent (Small Entity)					280.00 (140.00)	
Extension of Time Fee (Small Entity)	One Month \$110 (\$55)		Two Months \$400 (\$200)	Three Months \$920 (\$460)		
Total						\$297.00

**Applicant claims small entity status pursuant to 37 C.F.R. § 1.27.** A check in the amount of \$297.00 for the claims fee is attached. This amount is believed to be correct; however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0872. A duplicate copy of this transmittal is attached.

Dated: November 27, 2002

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